

Testimonial

Mr. Sarvesh Dayal Saxena, born on [REDACTED] joined our company on October 26, 2010 as Global Financial Analyst / Business Planning Analyst (BPA) in the Enterprise Web Services [REDACTED] Novartis Pharma AG.

In his current role, Mr. Saxena cohesively plans the budgeting and financials for the Group Finance IT and Enterprise Architecture Group with a controlling responsibility between USD 50 and 60 million annually. He leads the revenue recognition and intercompany charge-out processes worldwide for the operations and between 400 and 600 projects annually. He helps the team in preparing capital and Operating Expenses (OpEx) investment business cases with first time approvals from C level executives for numerous technologies amounting to millions of dollars, resulting in significant cost savings for Novartis.

Mr. Saxena also manages the consolidation group, reporting on a monthly basis in accordance with Swiss Generally Accepted Accounting Principles (GAAP), US GAAP and International Financial Reporting Standards (IFRS). He leads several financial awareness sessions on a one-to-one basis and in a group to train non-financial senior managers in the areas of understanding of SAP Controlling Module, investments, accounting for revenue and costs recognition, planning for budget and automation of business processes in Salesforce.com technology. Mr. Saxena has won a Leadership award for an innovatively designed compliance application by leading a project team of four people on Salesforce.com platform, resulting in significant cost savings from reduced license fees, cutting manual administrative process and bringing automation to Sarbaney-Oxley Act (SOX) compliance process in the area of intercompany contracts approval.

Mr. Saxena's responsibilities also include business partnering with customers inside Novartis at the IT Finance Lead, Country Chief Financial Officer (CCFO) and Chief Information Officer (CIO) levels for project charge-outs as well as proactively resolving issues. Furthermore, he delivers Standard Operating Procedures (SOPs) for the Revenue Charging Process for standardisation of business and trains the team on different aspects of charging information accordingly. He is also accountable for conducting and reviewing due diligence for investment cases on an ad-hoc basis and for goods receipt process to ensure that internal controls are complied with Novartis and SOX 404 and 302 framework.

In addition, Mr. Saxena delivers meaningful insights to leadership team on a monthly basis in the areas such as forecasting with what-if analysis, actual performance, trends and critical escalation points for management to take action to achieve annual targets. He is involved in collecting financial information from different IT Heads and stakeholders for monthly projections to ensure business feedback is inculcated in the forecasts. He has delivered a five-year strategic plan to improve operational productivity for Novartis for the Enterprise Architecture Group.

Mr. Saxena designed a new way of goods receipt reconciliation process in a standardised approach, including posting of Accruals with Shared Services Center during the month end activities to ensure business performance is reflected accurately. He has improved the framework of Intercompany charge-out processes by contributing to central charging process, which has resulted in reducing the administrative costs of transactional processing of invoices worldwide.

Mr. Saxena is in charge of preparing budget decks by collaborating with the Enterprise Assets (EA) team and of building new global EA model for IT charge allocation for the operations. He has single-handedly developed an e-Learning training program for the Easy Service Level Agreement (SLA) Application, Project Accounting Charge-out, IT system handover and budgeting process, escalating non compliance issues to the management when necessary. Moreover, Mr. Saxena builds performance evaluation dashboards for real time reporting of SLA approval status, IT costs by customer, division and other parameters on Easy SLA application, allowing team to view information from any mobile devices securely.

Mr. Saxena built expert e-Training programs for the team of 70 worldwide in the areas of salesforce.com, investment appraisal and in Financial & IT Compliance processes. He manages risk management and internal controls assurance assessment periodically and he timely communicates project updates to team members worldwide, ensuring that project targets and milestones are delivered in an effective manner.

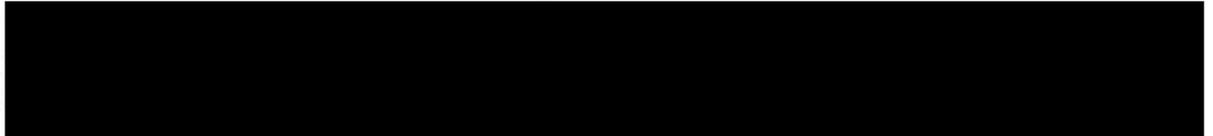
During the course of his daily work, Mr. Saxena uses the following SAP systems: Controlling and Electronic Reporting (ERP) SAP CO, Supplier Relationship Management SAP ESRM, SAP ICSI for expense approval and intercompany charging, SAP ENIMS for investment management, SAP BI and SAP Group Data Warehouse. He is also knowledgeable with Salesforce.com software, SharePoint 2007 and 2010 platforms, MS Office applications (he is an advanced user of Excel 2013) and Cognos. He is fluent in English, both spoken and written.

We are very satisfied with Mr. Saxena's performance. He possesses sound specialist knowledge in the areas of accounting and building innovative systems. Making good use of his expertise even when handling demanding tasks, he fully meets our expectations.

We appreciate Mr. Saxena as a diligent person who keeps an overview of his duties even in the presence of complex interrelationships. Able to analyse and present problems clearly, he overcomes the challenges in his area of responsibility and makes a significant contribution towards finding solutions. Working in an accurate and structured manner, Mr. Saxena completes the tasks assigned to him carefully and correctly at all times and achieves a high standard of quality.

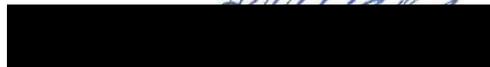
Reliable and always ready to assume accountability, Mr. Saxena acts independently and demonstrates exceptional initiative and commitment to his area. Goal-oriented, he pursues targets with enthusiasm and resoluteness, providing many realisable ideas and valuable suggestions. Moreover, he actively contributes to new solutions and advocates their implementation.

Mr. Saxena successfully fulfils his assignments despite a heavy workload and is able to understand and meet the client's needs. While raising critical issues objectively and constructively, he can recognise conflicts in good time and clarify them in an honest and fair way. His behaviour towards associates and superiors is at all times cooperative and proper.



Basel, November 28, 2013

Novartis Pharma AG



Head HR Global IT

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Testimonials from Senior Managers in Novartis that I supported as Finance Business Partner

Sarvesh Saxena, Global Business Planning Analyst



Novartis & Awards



[Vegesna Varma](#)

Service Manager at Novartis Pharma

To Whom It May Concern

I have known Sarvesh since the last two years in Enterprise Web Services Team. He has been a great pleasure to work with. He brings his attention to detail in every aspect of the project he has been involved. He really has set a new standard for all us to follow in EWS Team. On Finance Business partnering front, His support has been very valuable in preparing the CAR for Group IT E2E IT processes. His involvement right from the beginning of making the investment case, presenting to management for approval, implementation and closure of the project has been very helpful.

His communication and people skills are excellent. He does have some very innovative ideas. EWS Finance System he has built, for SLA Management and monthly billing file generation is a certainly a great example. This is widely used by EWS Customers, Business Service managers and Finance Teams. He was at the forefront of making this all happen. His professionalism has always been above and beyond the call of duty in every aspect of his work. Personally he is very friendly, always upbeat, and always has a good word to say to those he meet him. I can only see bigger and better things for him in the future.



[Kaushik Manian](#)

Program/Engagement Manager at Wipro Technologies

It is a pleasure working with a person like Sarvesh who is very thoughtful and aware of his surroundings. His passion and drive to get things done in a short span of time is a great quality. He is very thorough and detail oriented, but at the same time doesn't mind challenging what he thinks can be better. He is a very good listener and ensures he understands the other's point of view in any conversation. A very fast learner and a great asset to any team.



[Scott Hibbard](#)

•Global Head Web Platform, Hosting and Development Services at Novartis at Novartis Pharmaceuticals Corporation

I happily place my professional recommendation behind Sarvesh Saxena. In all matters related to finance and accounting, Sarvesh has always demonstrated the highest level of professionalism and actions in strict accordance with company policies and accounting best practices. His work is uncompromising and always thorough. He has been a valuable business partner.

July 10, 2012, Scott worked directly with Sarvesh at Novartis



Eduardo dos Santos Silva

EWS Key Account Manager at Novartis

I have been working closer to Sarvesh for the last year, and it has been a pleasure to realize how results-driven, friendly and intelligent he is. He is currently supporting our entire operation from a financial perspective, which is not easy since we are a Global, cross divisional unit., with different needs and still changing over time. Whenever I get urgent requests from our CIOs where his attention is required, I am confident that I will get all support required from Sarvesh; he knows who to prioritize his work, organize things around and will work hard to meet his tight SLAs. He is a true business partner and therefore I highly recommend his work

Novartis Award for Salesforce.com Application Project for Innovation

thank you

Dear Sarvesh

On behalf of the Lead-Team I would like to thank you for your proactive approach, commitment efforts and achievements in the project 'Easy SLA'.

You've shown passion and persistence to bring your idea into reality and helped WebSDG and Novartis to get stability and structure in a former cumbersome process.

We want to acknowledge this performance with a Spot Award.

Please find some vouchers from 'Pro Innerstadt Basel', an organization of Basel based shops.

Harvard Business School



[Chuck Moisan](#)

CFO at AMACOR

I first met Sarvesh Saxena at Harvard Business School in January of 2009. During class, he was always willing to participate in the various discussions. Through these classroom interactions, I learned that he is a bright, energetic, intelligent and knowledgeable young man. He impressed me with his ability to talk so eloquently about so many different topics. His contributions in these settings were extremely thoughtful and truly showed the depth of his passion for learning.

In speaking with him outside of the classroom setting, I learned that his knowledge of the finance world is quite vast and that his breadth of knowledge of many business topics belies his youthful appearance. His ability to converse easily with people from many different areas of the world on many different topics and in all facets of business gave me a sense of his business acumen. It was in these settings that Sarvesh's character really shined through. He showed himself to be a gentleman with a thirst for learning and a willingness; almost a hunger to interact in thoughtful and meaningful ways. It was readily apparent that Sarvesh is the type of individual that can provide immediate, positive impact to the people, groups and organizations in which he interacts. He has a way of putting those around him at ease and breaking down barriers. I have no doubt that Sarvesh would be an asset for any organization and that his knowledge, abilities and easy going personality would be a welcome fit. Sarvesh is a trusted friend and colleague of mine and I heartily recommend him.

July 2, 2010, Chuck studied with Sarvesh at Harvard Business School